

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Kathryn E. Uhrich
Serial No.: 10/712,416
Filed: November 10, 2003
Title: THERAPEUTIC AZO-COMPOUNDS FOR DRUG DELIVERY

Examiner: Blessing M. Fubara
Group Art Unit: 1618
Docket: 01435.010US3

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicant respectfully requests that this Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to MPEP 609, Applicant requests that a copy of the Form 1449, initialed as being considered by the Examiner, be returned to the Applicant with the next official communication.

Copies of "Foreign Patent Documents" and "Other Documents" listed on the Form 1449 are not included as these documents were either submitted to or cited by the Office in Serial No. 09/917,595, which application was filed on July 27, 2001, or Serial No. 10/647,701, which application was filed on August 25, 2003.

Pursuant to 37 C.F.R. § 1.97, no fee or statement is required with the Information Disclosure Statement. However, the Commissioner is hereby authorized to charge any required fees to Deposit Account No. 503503 in order to have this Information Disclosure Statement considered. The Examiner is invited to contact the Applicant's Representative at the below-listed telephone number if there are any questions regarding this communication.

Respectfully submitted,
Kathryn E. Uhrich et al.
By their Representatives,
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Date September 13, 2006 By 
Peter L. Malen
Reg. No. 44,894

CERTIFICATE: I hereby certify that this correspondence is being transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment; Commissioner for Patents; P.O. Box 1450, Alexandria, VA 22313-1450, on this 13rd day of September, 2006.

Michelle Padua
Name

Michelle A. Padua
Signature

Submitte for form 1440A/PTO and/or 1440B/PTO
**INFORMATION DISCLOSURE
 STATEMENT BY APPLICANT**
 (Use as many sheets as necessary)

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Application Number 10/712,416
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First Named Inventor Kathryn E. Uhrich
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Examiner Name Blessing M. Fubara

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